

UNITED STATES PATENT AND TRADEMARK OFFICE





CONFIRMATION NO. 7610

SERIAL NUMB 09/928,771		FILING DATE 08/13/2001 RULE	(CLASS 713	GRO	GROUP ART UNIT 2131		ATTORNEY DOCKET NO. 066101.0291	
Dennis J. C Donovan M Craig S. Ca Brain C. Sr Jon H. Wel Marc Willel J. Wayne E Francis S. ** CONTINUING	Cox, A M. Koli Jantrell Jantrell Jantre Blacka Webs DATA	Aman, Austin, TX; Austin, TX; bly, Austin, TX; I, Austin, TX; Fort Worth, TX; Austin, TX; LeMair, Austin, TX; ard, Austin, TX; ster III, Austin, TX; A************************************	***	ED					
Foreign Priority claimed 35 USC 119 (a-d) conditions yes no Met after wet				STATE OR COUNTRY TX	DRAWING CLA		TOTA CLAI 33	MS	INDEPENDENT CLAIMS 4
ADDRESS Robert W. Hollan Baker Botts LLP 2001 Ross Avenu Dallas ,TX 75201	ue, Su								
TITLE System and meth	hod fo	r programming network	k nodes						
FILING FEE RECEIVED No to charge/credit DEPOSIT ACCOUNT No for following:					☐ All Fees ☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other ☐ Credit				

Receipt

#2

ATTORNEY'S DOCKET 066101.0291

PATENT 09/928,771

Noge acting

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Incré Application of:

Charles R. Buckman et al.

Serial No.:

09/928,771

Date Filed:

August 13, 2001

RECEIVED

Examiner:

Unknown

JAN 0 4 2002

Group Art Unit:

2131

Technology Center 2100

Title:

SYSTEM AND METHOD FOR

PROGRAMMING NETWORK NODES

Office of Initial Patent Examination Customer Service Center Honorable Assistant Commissioner for Patents Wasington, D.C. 20231 I hereby certify that this communication is being deposited with the United States Postal Service as Express Mail No. EL923/9/308 U.5

addressed to: Office of Initial Patent Examination, Customer Service Center, Honorable Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

Amy Rueff

Date of Signature

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Dear Sir:

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified Patent application.

4.0

2

Please correct the name of the Assignee. Please delete "Netpliance, Inc." and replace with "TippingPoint Technologies, Inc".

Applicants respectfully request that this correction be entered, and that a Corrected Official Filing Receipt be issued.

Applicants believe no fees are due, however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicants

Robert W. Holland Reg. No. 40,020

Correspondence Address:
Baker Botts L.L.P.
One Shell Plaza
2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
512.322.2693

Date: 5 Dec Loo 1





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I www.uspto.gov

FIL FEE REC'D ATTY.DOCKET.NO GRP ART UNIT APPLICATION NUMBER FILING DATE

DRAWINGS

TOT CLAIMS IND CLAIMS

09/928.771 🗸

08/13/2001~

2131

512

066101.0291~

33

CONFIRMATION NO. 7610

FILING RECEIPT

Robert W. Holland **Baker Botts LLP** 2001 Ross Avenue, Suite 600 Dallas, TX 75201-2980

RECEIVED

OC000000006963481

JAN 0 4 2002

Technology Center 2100

Date Mailed: 10/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Charles R. Buckman, Austin, TX; Dennis J. Cox, Austin, TX; Donovan M. Kolbly, Austin, TX; Craig S. Cantrell, Austin, TX; Brain C. Smith, Fort Worth, TX; Jon H. Werner, Austin, TX; Marc Willebeek-LeMair, Austin, TX; J. Wavne Blackard, Austin, TX; 🔛 Francis S. Webster III, Austin, TX;

Assignment For Published Patent Application

Netpliance, Inc.:

Tipping Point Technologies, Inc.

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/24/2001

Projected Publication Date: 02/13/2003

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

System and method for programming network nodes

RECEIVED

JAN 0 4 2002

Technology Center 2100

Preliminary Class

713

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).